



VISHAL N. MANSETA (B.Com, A. C. S.)

Practicing Company Secretary

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Secretarial compliance report of ALKA INDIA LIMITED for the year ended March 31, 2020

I have examined:

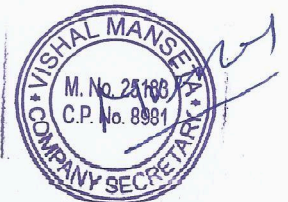
- all the documents and records made available to us and explanation provided by **ALKA INDIA LIMITED** ("the listed entity"),
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/ filing, as may be relevant, which has been relied upon to make this certification,

I have conducted audit of the **ALKA INDIA LIMITED** for the year ended March 31, 2020 ("Review Period") in respect of compliance with the provisions of :

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
 - Appointment of company secretary was intimated after 30 days;
 - Intimation of outcome of Board meeting after 30 minutes;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;



- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Corporate Governance Report for Quarter ended March 31, 2020;	Submitted On 08.09.2020	Delayed submission
2.	Shareholding Pattern for Quarter ended March 31, 2020;	Submitted on 16.09.2020	Delayed submission
3.	Compliance Certificate under Reg. 74(5) of SEBI (DP) Regulations, 2018 for Quarter ended March 31, 2020;	Submitted on 08.07.2020	Delayed submission
4.	Reconciliation of Share Capital Audit Report for Quarter ended March 31, 2020;	Submitted on 18.08.2020	Delayed submission
5.	Compliance Certificate under Regulation 7 (3) of SEBI (LODR) Regulation, 2015 Quarter ended March 31, 2020;	Submitted on 08.10.2020	Delayed submission
6.	Compliance Certificate under Regulation 40 (10) of SEBI (LODR) Regulation, 2015 Half	Submitted on 08.11.2020	Delayed submission



	Year ended March 31, 2020;		
7.	Investor Complaints report for the Quarter ended March 31, 2020;	Not Submitted	Not Submitted as on date of Report.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

The listed entity has not made timely compliance of SEBI (LODR) Regulations, 2015 and SEBI (DP) Regulations, 2018. However, the company has maintained records of submission(s) made to exchange.

- (c) The following are the details of actions taken against the listed entity/its promoters/ directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended March 31, 2020	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
As per information and explanation given by the company officials, during the year under review no penalties imposed by the exchange and/or action taken by the exchange.				

Place : Mumbai
Date : December 19, 2020
UDIN : A025183B001564039



For Vishal N. Manseta
(Practicing Company Secretary)

Vishal N. Manseta
Vishal N. Manseta
M. No : 25183
C.P. No: 8981